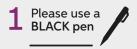




Trust Application Form

How to complete the form



Mark boxes like this



Please use **BLOCK CAPITAL** A 2 LETTERS and leave one space between each word

Contents

This AIB (NI) application form includes:

- Trust application
- Data Protection Notice
- Account Mandate Authorised Signatories and Declaration
- Bank Use Only section (we will fill out these three pages)
- Customer information section (you will need to read and retain this section) – this contains Financial Services Compensation Scheme - Depositor Information sheet and **Exclusions List**

Three easy steps to open a business account

STEP 1



Complete this application form and Account Mandate in full.

STEP 2



Gather all additional supporting documents and information that may be required.

STEP 3



Return documentation to the individual you have been liaising with, by Secure Email for review (instruction provided separately) then by post.

Data Protection

For information in relation to how we collect personal information about you, how we use it and how you can interact with us about it, see our Data Protection Notice in Business Centres and online at aibni.co.uk/data-protection. It may change from time to time.

Documents you will need to show us

After you submit your application we will ask to see one item from each of the following two lists (plus the same for any other beneficial owners). Please note you can use current UK photo card driving licence to confirm both your identity and address.

If you are unable to provide documents from the following lists, please speak with the individual you have been liaising with to discuss other documents that you may be able to provide.

Your identity:

- Current passport
- Current UK photocard driving licence
- Current EEA National Identity Card

Your Address:

- · Current UK photocard driving licence
- Utility bill dated within the last three months
- Bank or building society statement dated within the last three months
- A local authority or tax bill valid for current year

Business Documents:

- Cash flow/business plan
- Most recent audited/certified accounts together with bank statements for three months
- Signed certified trust deed and all deeds associated with the trust's establishing trust deed

For non-UK principals, we can only accept passport, driving licence and Identity Card. Please provide two of these documents.

Politically Exposed Persons (PEP's)

A Politically Exposed Person is defined in the Money Laundering, Terrorist Financing and Transfer of Funds regulations in the UK.

The Bank's obligations

AIB Group (UK) p.l.c. is required to obtain details of a Politically Exposed Person's ("PEP") and Relative Close Associate's ("RCA") source of funds for the account and their source of wealth.

Your obligations

You are obliged to inform us of within fourteen days of any change to your management, governance structure or direct and indirect beneficial ownership and control.

1. About your Trust What is the name of your trust? Details on whose behalf the Trust is in operation VAT Number (if applicable) FCA registration number (if registered with the Financial Conduct Authority) Month When did your business start? Running your business: How many employees does the business have? Current bankers Will you continue to bank with your existing bankers in addition to AIB Group (UK) p.l.c.? No If Applicable, please complete the section below if you are happy for us to engage with your solicitor/accountant in relation to your account Current solicitors Current accountants Contact details for your business (i

rading address/general correspondence address f different from registered office)	L												
f different from registered office)													
Contact name													
elephone number (including area code)													
Mobile number													
rust / business email address													
rust / business website address													
Source of Funds													
Name of Bank													
Name of Bank Sort code													
Sort code													
Sort code Account number													
Sort code Account number													
Sort code Account number													

Business Activity - brief outline of the	e nature of your business			
To satisfy our legal obligations we ar	re obliged to ascertain the	following:		
Source of capital				
Source of income and wealth				
Anticipated annual turnover for the	next 12 months:			
a. Overall				
b. Through AIB Group (UK) p.l.c.				
Does the business deal with third pa	arties outside the UK?	Yes	No	
If yes, please specify trading countries				
Purpose for opening Account(s)	General Operation	Savings/Deposit	Other (please specify	below)

2. Tax Certification F	orm fo	or Bu	sines	s Cu	stom	ers																
Trust Name																						
Tax Reporting																						
Financial institutions in the (FATCA) and the OECD* identifying those account jurisdiction(s).	Comm	on Re	portir	ng Sta	ndard	d (CRS	into	UK la	w to	seek	k ans	wer	s to	certa	in c	ques	tions	for p	ourp	oses	of	
If customers do not prov the relevant information			inforr	matio	n requ	uested	d, we	may r	ot b	e ab	le to	pro	ceed	l with	n op	peni	ng th	e ne	w a	ccou	nt ur	ntil
Please note that we are professional tax advisor https://www.gov.uk/guidCustomers must prompt Person(s) details change	or HMF dance/a ly advis	RC at autom	atic-e	xchar	nge-o	f-info	rmatic	n-acc	oun	t-ho	lders	;									t you	ır
* Organisation for Econo		opera	ation a	and D	evelo	pmer	nt															
US Foreign Account Tax	Compl	iance	Act (F	ATCA	١)																	
Please indicate entity ty Under legislation which organisation. When proveach entity type. This Guide is available at	incorpo iding a	ınswe	rs to tl																		ons o	ıf
1. Is your organisation a	US Pe	rson?	(If yes	s plea	se tic	k one	of the	e opti	ons k	oelov	w. If	no p	leas	e go	to	ques	tion	2)				
Specified US Person (No	te 1)									Othe	er US	S Pe	rson									
2. Is your organisation a	Financ	ial Ins	stituti	on? (I	f yes	please	e tick (one o	f the	opti	ions	belo	ow. If	no p	olea	ase ç	jo to	ques	stior	າ 3)		
Certified Deemed Comp	liant Fi	nancia	al Insti	itution	ı (FI)					Parti	icipa [.]	ting	Fina	ncial	Ins	stitut	ion (Note	2)			
Registered Deemed Con	npliant	Finan	cial In	stituti	on (N	ote 2))			Non	-Par	ticip	ating	g Fina	anc	ial Ir	nstitu [.]	tion				
Partner Jurisdiction Fina	ncial In	stitutio	on (No	ote 2)																		
3. Is your organisation a (Examples: Government		•					•					•	_	•	•							
Exempt Beneficial Owne	er																					
4. If your organisation d (Please tick selection Typically an NFFE will	below)													•					•			
interest, annuities, and the majority of organi	d rent) .	AND I	ess th	an 50	% of	its ass	ets ar	e held	d for	the I	prod	ucti	on of	f pass	sive	e inc	ome.	It is	ехре	ecte		t
Active NFFE										Pass	sive N	NFF	E (No	ote 3)								
Note 1: Please provide U	IS TRN	(Tax R	Refere	nce N	umbe	er)																
Note 2: Please provide 0	SIIN (Gl	obal Ir	nterm	ediary	y Ider	ntificat	ion N	umbe	r)													
Note 3: If the Entity is a F (Pages 6 and 7).																						
Please include GIIN/US	TIN wh	ere ap	plical	ole.																		

OECD Common Reporting Standard (CRS)

Please indicate entity type

Under legislation which incorporates the CRS into UK law you are required to provide your jurisdiction of tax residence, tax identification number and to identify the entity type applicable to your organisation. When providing answers to the question below please refer to the "Entity Classification Guide" for descriptions of each entity type. This Guide is available at aibni.co.uk/fatca	ns
Jurisdiction Tax Residence	
Tax Reference Number	
 Is your business a Financial Institution? (If yes please tick one of the options below. If no please go to question 2) a) Financial Institution - Depository Institution, Custodial Institution, Specified Insurance Company or 	
Investment Entity other than that described in 1(b) below.	
 b) Investment Entity (Note 1) that meets the following conditions: 1. Not resident in a Participating Jurisdiction; and 2. Gross income is primarily attributable to investing, reinvesting, or trading in Financial Assets; and 3. Managed by another Financial Institution as described in 1(a) above. 	
2. Is your organisation one of the entities listed below? (If yes please tick below. If no please go to question 3)	
 a. Governmental Entity b. International Organisation c. Central Bank d. Corporation, the stock of which is regularly traded on one or more established securities markets or corporation that is a Related Entity of such a corporation. 	
3. Is your organisation one of the entities listed below? (Please tick one of the options below.) Typically a non-Financial Entity (NFE) will be an Active NFE if less that 50% of its gross income is from passive sources (includ dividends, interest, annuities and rent) AND less than 50% of its assets are held for the production of passive income. It is expected that the majority of organisations will fall into the Active NFE category. A Passive NFE is one that is not an Active NFE	
a) Active NFE	
b) Passive NFE (Note 1)	

Note 1: If the Entity is an Investment Entity (1 b) or a Passive NFE (3 b) above, please complete the certification details of the Beneficial Owners/Controlling Persons (Pages 6 and 7). For more information please see Definitions of Controlling Persons by Legal Entity section on page 14.

3. Who owns and runs the Trust

Details of all Trustee(s) / Settlor(s) / Beneficiary(ies) / Protector(s) / Authorised signatory(ies)

Please insert details below of the individual who owns or runs the business of: (insert FULL business/company name)	Please insert details below of the individual who owns or runs the business of: (insert FULL business/company name)
Title (Mr, Mrs, Miss, Ms, other)	Title (Mr, Mrs, Miss, Ms, other)
First name	First name
Middle name	Middle name
Surname	Surname
Your current home address	Your current home address
Day Marth Van	Day Marth Vers
Day Month Year Date of birth	Day Month Year Date of birth
Country of birth	Country of birth
Position in the trust	Position in the trust
Trustee Authorised signatory	Trustee Authorised signatory
Beneficial Owner Settlor	Beneficial Owner Settlor
Protector	Protector
If you are a Controlling Person please answer these additional questions. (Please reference FATCA, CRS and the Controlling Persons definition on pages 4, 5 and 14 respectively).	If you are a Controlling Person please answer these additional questions. (Please reference FATCA, CRS and the Controlling Persons definition on pages 4, 5 and 14 respectively).
Are you a US Citizen? Yes No	Are you a US Citizen? Yes No
(if you have answered yes to the US Citizen question please include the United States as one of the countries below)	(if you have answered yes to the US Citizen question please include the United States as one of the countries below)
Where are you a tax resident? (Where you are tax resident in any jurisdiction other than the UK, you are required to provide the corresponding Tax Identification Number (TIN) (if any) for each jurisdiction)	Where are you a tax resident? (Where you are tax resident in any jurisdiction other than the UK, you are required to provide the corresponding Tax Identification Number (TIN) (if any) for each jurisdiction)
Tax Identification Number (TIN)	Tax Identification Number (TIN)
Tax Identification (Tity)	iax identification (variber (1119)

Please insert details below of the individual who owns or runs the business of: (insert FULL business/company name)	Please insert details below of the individual who owns or runs the business of: (insert FULL business/company name)
Title (Mr, Mrs, Miss, Ms, other)	Title (Mr, Mrs, Miss, Ms, other)
First name	First name
Middle name	Middle name
Surname	Surname
Your current home address	Your current home address
Day Month Year	Day Month Year
Date of birth	Date of birth
Country of birth	Country of birth
Position in the trust	Position in the trust
Trustee Authorised signatory	Trustee Authorised signatory
Beneficial Owner Settlor	Beneficial Owner Settlor
Protector	Protector
If you are a Controlling Person please answer these additional questions. (Please reference FATCA, CRS and the Controlling Persons definition on pages 4, 5 and 14 respectively).	If you are a Controlling Person please answer these additional questions. (Please reference FATCA, CRS and the Controlling Persons definition on pages 4, 5 and 14 respectively).
Are you a US Citizen? Yes No	Are you a US Citizen? Yes No
(if you have answered yes to the US Citizen question please include the United States as one of the countries below)	(if you have answered yes to the US Citizen question please include the United States as one of the countries below)
Where are you a tax resident? (Where you are tax resident in any jurisdiction other than the UK, you are required to provide the corresponding Tax Identification Number (TIN) (if any) for each jurisdiction)	Where are you a tax resident? (Where you are tax resident in any jurisdiction other than the UK, you are required to provide the corresponding Tax Identification Number (TIN) (if any) for each jurisdiction)
Tax Identification Number (TIN)	Tax Identification Number (TIN)
Tax rae funcation frumber (Thy)	Tax Idel full cation (value)

4. What products and services do you require?

Let us know what kind	d of business account you	u require and if there	e are any additior	nal products and	services detai	iled below, that
may be of interest to						

Daily Banking Services	Deposit Accounts	Borrowings
Current Account	Fixed Term Deposit	Fixed Rate Loan
		Overdraft
		Term Loan
		Asset Finance
International Banking Services	Visa	Electonic Banking Services
Currency Account	Company Visa Credit Card	iBusiness Banking (iBB)*
	Merchant Facilities	Online services*
*Visit aibni.co.uk/ways-to-bank to choose the	electronic banking service that best meets y	our needs.
Statement requirement When do you wish to receive your current acc	ount statements? Monthly	Weekly
If monthly, on which date of the month would		
Addtional requirements		
Do you require a cheque book?	Yes	No
Do you require a lodgement book?	Yes	No
Do you require a Post Office Cash Deposit Car	rd? Yes	No
If yes, number of cards required		
Account title for cheque and lodgement books (ensure one letter per box only)		

(Please indicate how you would like your business/organisation's name to appear on your cheque and lodgement books. For companies, the FULL company name as detailed in the Certificate of Incorporation must appear)

Effective 1 April 2021

We respect your trust in us to use, store and share your information. In this notice, we explain how we collect personal information about you, how we use it and how you can interact with us about it.

We try to keep this notice as simple as possible but if you are unfamiliar with our terms, or want more detail on any of the information here, please see our website's Frequently Asked Questions section or our contact details at aibni.co.uk/data-protection or www.aibgb.co.uk/Data-protection. You can also ask for more details at your local branch.

1. Who we are

In this notice, 'we', 'us' and 'our' refers to AIB Group (UK) p.l.c. which includes AIB (NI), Allied Irish Bank (GB) and Allied Irish Bank (GB) Savings Direct, and AIB Group which refers to Allied Irish Banks, p.l.c., its subsidiaries, affiliates and their respective parent and subsidiary companies. For more information about our group of companies, please visit www.aibgroup.com.

We share your information within AIB Group to help us provide our services, comply with regulatory and legal requirements, and improve our products.

2. Data Protection Officer

Our Data Protection Officer oversees how we collect, use, share and protect your information to ensure your rights are fulfilled. You can contact our Data Protection Officer at UKDPO@aib.ie or by writing to them at: Data Protection Officer, AIB Group (UK) p.l.c., 92 Ann Street, Belfast, BT1 3HH.

3. How we collect information about you

We collect personal information from you, for example when you open an account; make a deposit; apply for products and services; use your credit or debit card; complete transactions; or look for advice. We also collect information through our website, apps, social media, discussion forums, market research and CCTV footage. We will sometimes record phone conversations and we will always let you know when we do this.

We may collect information to identify you through voice, facial or fingerprint (biometric data) recognition technology. We always ask for your consent to do this.

Our websites use 'cookie' technology. A cookie is a little piece of text that our server places on your device when you visit any of our websites or apps. They help us make the sites work better for you.

When you apply to us for products and services, and during the time you use these, we carry out information searches and verify your identity. We do this by sending and receiving information about you to and from third parties including credit reference agencies and fraud prevention agencies. We and these agencies may keep records of our searches whether or not the product or service goes ahead.

4. How we keep your information safe

We protect your information with security measures under the laws that apply and we meet international standards. We keep our computers, files and buildings secure.

When you contact us to ask about your information, we may ask you to identify yourself. This is to help protect your information

5. How long we keep your information

To meet our legal and regulatory obligations, we hold your information while you are a customer and for a period of time after that. We do not hold it for longer than necessary.

6. Meeting our legal and regulatory obligations

To use your information lawfully, we rely on one or more of the following legal bases:

- performance of a contract;
- legal obligation;
- protecting the vital interests of you or others;

- public interest;
- our legitimate interests; and
- your consent.

To meet our regulatory and legal obligations, we collect some of your personal information, verify it, keep it up to date through regular checks, and delete it once we no longer have to keep it. We may also gather information about you from third parties to help us meet our obligations. If you do not provide the information we need, or help us keep it up to date, we may not be able to provide you with our products and services.

7. Consent

Sometimes we need your consent to use your personal information. With direct marketing for example, we need your consent to make you aware of products and services which may be of interest to you. We may do this by phone, post, email, text or through other digital media.

You can decide how much direct marketing you want to accept when you apply for new products and services. If we ever contact you to get your feedback on ways to improve our products and services, you have the choice to opt out.

When we use sensitive personal information about you, such as medical or biometric data, we ask for your consent. Before you give your consent, we tell you what information we collect and what we use it for. You can remove your consent at any time by contacting us.

8. How we use your information

We use information about you to:

- provide relevant products and services;
- identify ways we can improve our products and services;
- maintain and monitor your products and services;
- protect both our interests;
- meet our legal and regulatory obligations; and
- decide and recommend how our products and services might be suitable for you.

To provide our products and services under the terms and conditions we agree between us, we need to collect and use personal information about you. If you do not provide this personal information, we may not be able to provide you with our products and services.

We analyse the information that we collect on you through your use of our products and services and on our social media, apps and websites. This helps us understand your financial behaviour, how we interact with you and our position in a market place. Examples of how we use this information includes helping protect you and others from financial crime, offering you products and services and personalising your experience.

We may report trends we see to third parties. These trend reports may include information about activity on devices, for example mobile phones, ATMs and self-service kiosks, or card spend in particular regions or industries. When we prepare these reports, we group customers' information and remove any names. We do not share information in these reports that can identify you as a customer, such as your name, or account details.

We sometimes use technology to help us make decisions automatically. For example, when you apply for a loan online. Before we make a decision, we automatically score the information you give us, any information we already hold about you, and any information we may get from other sources.

9. Your information and third parties

Sometimes we share your information with third parties.

For example to:

- provide products, services and information;
- analyse information;
- research your experiences dealing with us;
- collect debts;

- sell your debts;
- sell whole or part of our business;
- prevent financial crime;
- help trace, investigate and recover funds on your behalf;
- trace information; and
- protect both our interests.

In order to process your application we will supply your personal information to credit reference agencies (CRAs) and they will give us information about you, such as about your financial history. We do this to assess creditworthiness and product suitability, check your identity, manage your account, trace and recover debts and prevent criminal activity.

We will also continue to exchange information about you with CRAs on an ongoing basis, including about your settled accounts and any debts not fully repaid on time. CRAs will share your information with other organisations. Your data will also be linked to the data of your spouse, any joint applicants or other financial associates.

The personal information we have collected from you will be shared with fraud prevention agencies who will use it to prevent fraud and money-laundering and to verify your identity. If fraud is detected, you could be refused certain services, finance or employment.

Further details of the CRA's and fraud prevention agencies, and how they process your information can be found at our websites.

We expect these third parties to have the same levels of information protection that we have.

We also have to share information with third parties to meet any applicable law, regulation or lawful request. When we believe we have been given false or misleading information, or we suspect criminal activity we must record this and tell law enforcement agencies, which may be either in or outside the UK.

10. International transfers of data

We may transfer your personal information outside of the United Kingdom (UK) and the European Economic Area (EEA) to help us provide your products and services. We expect the same standard of data protection is applied outside of the UK and EEA to these transfers and the use of the information, to ensure your rights are protected.

11. Your personal information rights

You will find information about your rights, when they apply and our responsibility to you on our website's Frequently Asked Questions section.

You can exercise your rights by calling into a branch, using our social media channels, phoning or writing to us. Further information and our contact details are available on our websites at aibni.co.uk/data-protection or www.aibgb.co.uk/Data-protection

We can help you with:

Accessing your personal information: You can ask us for a copy of the personal information we hold. You can ask us about how we collect, share and use your personal information.

Updating and correcting your personal details.

Removing consent: You can change your mind wherever you give us your consent, such as for direct marketing, or using your sensitive information, such as medical or biometric data.

Restricting and objecting: You may have the right to restrict or object to us using your personal information or using automated decision making.

Deleting your information (your right to be forgotten). You may ask us to delete your personal information.

Moving your information (your right to Portability). Where possible we can share a digital copy of your information directly with you or another organisation.

When you contact us to ask about your information, we may ask you to identify yourself. This is to help protect your information.

We generally do not charge you when you contact us to ask about your information.

12. Making a complaint

If you have a complaint about the use of your personal information, please let a member of staff in your branch (or service outlet) know, giving them the opportunity to put things right as quickly as possible. If you wish to make a complaint you may do so in person, by telephone, in writing and by email. Please be assured that all complaints received will be fully investigated. You can register a complaint through our contact centre, our branches, our Website, by phone, by email or in person at your branch. We ask that you supply as much information as possible to help our staff resolve your complaint quickly.

You can also contact the Information Commissioner's Office at www.ico.org.uk

13. Updates to this notice

We will make changes to this notice from time to time, particularly when we change how we use your information, and change our technology and products.

You can always find an up-to-date version of this notice on our website at aibni.co.uk/data-protection or www.aibgb.co.uk/Data-protection. You will also find a copy on display at your local branch, or you can ask us for a copy.

Marketing Options:

From time to time, AIB Group would like to tell you about offers, products and services available from us and carefully selected third parties. Please tell us below if you are happy for us to contact you, and by which methods. If you later decide to change these preferences, you may contact us at any time.

Applicant 1		
By phone	Yes	No
By post	Yes	No
By email	Yes	No
Applicant 2		
Applicant 2 By phone	Yes	No
• •	Yes Yes	No No

6. Account Mandate - Authorised Signatories and Declaration

We being all of the truste	es of the (name	e of Trust)					
request and authorise Al	B Group (UK) p.	l.c. to open/co	ontinue an Ac	count or Accou	unts ('the Accou	nt') in the name of	

- 1. To honour all letters of instruction relating to the Account(s) and to give effect to any order, direction, request or instruction expressed to have been made or given by me/us relating to drawings on or withdrawals or transfers from such Account(s) from time to time originated by cheque, bill, note, acceptance, instrument, order (including Standing Order and a bankers draft) debit (including Direct Debit), request, instruction or receipt, as the case may be, appropriate to the particular type of Account, notwithstanding that any such payment may cause any Account(s) to be overdrawn or increase any existing overdraft or increase any liability actual or contingent provided such items are signed in accordance with the drawing instructions in the schedule hereto.
- 2. To receive any monies lodged with you or with your appointed agents or mandated to you for credit of the Account and to collect payment for the Trustees for credit of the account of all cheques, bills, notes, pay orders and other instructions whether negotiable or not negotiable, payable to the Trustees which may be lodged with you or your appointed agents from time to time.
- 3. In consideration of the Bank agreeing at our request and at the Bank's absolute discretion:
 - To accept credits for any such Account(s) notwithstanding that such credits are payable to the Pension Scheme or the Trustee's.
 - To rely upon and act in accordance with any notice, instruction, demand or other communication which may from time to time signed in accordance with the appropriate Account Mandate without any inquiry on the Bank's part as to the authority or identity of the person giving or purporting to give such notice, instruction, demand or other communication:
 - To rely upon and act in accordance with any notice, instruction, demand or other communication which may from time to time be, or purport to be, given by telephone in respect of any payments to be made from any Account(s) to any of the Account(s) with the Bank and appearing to be given by a person authorised by the appropriate Account Mandate without any inquiry on the Bank's part as to the authority or identity of the person giving or purporting to give such notice, instruction, demand or other communication;

I/We hereby undertake and agree to indemnify the Bank and to hold the Bank harmless against any actions proceedings cost claims and demands losses or expenses which the Bank may suffer, incur or sustain by reason of the Bank having complied with such request(s) and I/We hereby acknowledge that the Bank is under no liability in respect of any failure to comply with such request(s).

We confirm that the Trust is properly constituted and that the trustees are authorised to operate the account in accordance with the terms of the Account Mandate.

This Account Mandate will remain in force until revoked or amended by notice in writing to the Bank signed by any two trustees

Acceptance

Where I / We have opened a current account, deposit account or currency account, I / We acknowledge receipt of the Financial Services Compensation Scheme (FSCS) Depositor Information Sheet / Exclusions List.

All trustees signatures required.

Duly authorised signatory	Date		
	Day	Month /	Year
Duly authorised signatory	Date		
	Day	Month /	Year
Duly authorised signatory	Date		
	Day	Month /	Year
Duly authorised signatory	Date		
	Day	Month /	Year
Duly authorised signatory	Date		
	Day	Month /	Year
Duly authorised signatory	Date		
	Day	Month /	Year

Schedule

ructions to be signed by (e.g. any one, any two, all)	of the following authorised signature(s
me (Printed)	Signature
ing instructions above	ocuments thereto must sign below to confirm their acceptar
rustees as detailed in the trust deed and any ancillary d	ocuments thereto must sign below to confirm their acceptar Signature
rustees as detailed in the trust deed and any ancillary di ing instructions above	
rustees as detailed in the trust deed and any ancillary di ing instructions above	
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rustees as detailed in the trust deed and any ancillary de iing instructions above	

Definitions of Controlling Persons by Legal Entity

Company

'Any individuals who ultimately own or control 25% or more of the shares or voting rights in the company or otherwise exercise control over the management of the company.

In circumstances where no individual owns or controls 25% or more of the shares or voting rights in the Company or otherwise exercises control over the management of the Company, then details of the two individuals who hold the greatest percentage of shares or voting rights in the Company or otherwise exercise control must be provided. For example, if 5 shareholders own or control equal shares (20%) of a Company then the details for any 2 individuals must be provided to the Bank.

Incorporated Society

'Any individual who ultimately own or control 25% or more of the shares or voting rights in the incorporated society or otherwise exercise control over the management of the incorporated society.

In circumstances where no individual(s) ultimately own or control 25% or more of the shares or voting rights in the incorporated society or otherwise exercises control over the management of the incorporated society, details for the 2 individuals who hold the greatest percentage of shares or voting rights in the incorporated society or otherwise exercise control must be provided. For example, if 5 shareholders own or control equal shares (20%) of an Incorporated Society then the details for any 2 individuals must be provided to the Bank.

Partnership

'Any individuals who ultimately own or control a 25% or more share of the capital, or profits or voting rights in the partnership, or who otherwise exercise control over the management of the partnership'.

Limited Partnership

'Any individuals who ultimately own or control a 25% or more share of the capital, or profits or voting rights in the partnership, or who otherwise exercise control over the management of the partnership'.

Trust

In relation to a trust, means each of the following:

- the settlor(s);
- the trustee(s):
- the beneficiary(ies)
- the protector(s);
- any individual who has control over the trust.

Unincorporated Entity (e.g. Association, Society, Club / Charity)

Any individual who benefits from or who exercises control over at least 25% or more of the property of the Unincorporated Entity.

Other Legal Entities Within the Ownership Structure of your Business

Where there are other legal entities within the ownership structure of your Business, and they own or control 25% or more of the shares or voting rights, capital or profit, (as applicable), or otherwise exercises control in your Business, the details for the Controlling Persons (Beneficial Owners) of that legal entity must be provided to the Bank, and so on until the details of all Controlling Persons (Beneficial Owners) within the ownership structure of your Business are established.

For bank use only				BANK Information only
Designated Referra Code	al	Sort Code	Account number (if applicable)	
Source of income & wealth				
Account 1				
Account Title				
Account Short Name				
Product Code				
Product Name				
Purpose & Reason for Opening				
Origin of Funds				
Turnover				
Account 2 Account Title				
Account Short Name				
Product Code				
Product Name				
Purpose & Reason for Opening				
Origin of Funds				
Turnover				

For bank use only													I	BAN	IK Ir	for	mati	ion	only
Account 3 Account Title																			
Account Short Name																			
Product Code																			
Product Name																			
Purpose & Reason for Opening																			
Origin of Funds																			
Turnover																			
Account 4 Account Title																			
Account Short Name																			
Product Code																			
Product Name																			
Purpose & Reason for Opening]				
Origin of Funds																			
Turnover																			
Beneficiary accour to No. If yes specif	nt flag y reaso	will d on/de	efau etails	lt [

For bank use only **BANK Information only** Intranet > Business Areas > Risk > Risk UK > Sector codes > Sector Code Selection Tool should be used to assist with correct **Bank Of England classifications Customer Type BoE Class** Sector Sector Group Sector Sub Group Sector Code Confirm that FSCS Information Sheet & Exclusions List been given to customer No Yes Confirm that Summary Box been handed to customer (if applicable) Yes No Is the customer a PEP? Yes No **FCA Additional Metrics Reporting** Does the business have 10 or more employees? No Yes Does the annual turnover or balance sheet exceed €2M? Yes No If the business is a registered charity, does the turnover exceed £1M? Yes No Is the account in scope for metrics reporting? Yes No Day Month Year Date full information to open the account was provided by the business At the time of account opening please confirm if the customer requested the following: Online Banking and a Card Reader How Many Yes No iBB Yes No Debit Card Yes How Many No Overdraft Yes No Has a cheque book/ Post Office No Lodgement Card been ordered?

Signed off by (branch official)						Print Name											Signature	Date					
																					Day	Month	Year

By signing this I confirm that all the above is correct and where necessary documents attached, confirm they are complete.



Information correct as at May 2023

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Customer Information Section

customer information section
You will need to read and retain these pages



Scheme - Depositor Information Sheet

Financial Services Compensation



Protected

Basic information about the protection of your eligible deposits										
Eligible deposits in AIB Group (UK) p.l.c. are protected by:	the Financial Services Compensation Scheme ("FSCS") ⁽¹⁾									
Limit of protection:	£85,000 per depositor per bank ⁽²⁾ Your bank, AIB Group (UK) p.l.c., operates under license as AIB (NI) in Northern Ireland and Allied Irish Bank (GB) and Allied Irish Bank (GB) Savings Direct in Great Britain									
If you have more eligible deposits at the same bank:	All your eligible deposits at the same bank are "aggregated" and the total is subject to the limit of £85,000. $^{(2)}$									
If you have a joint account with other person(s):	The limit of £85,000 applies to each depositor separately. ⁽³⁾									
Reimbursement period in case of bank's failure:	20 working days ⁽⁴⁾									
Currency of reimbursement:	Pound sterling (GBP, £)									
To contact AIB Group (UK) p.l.c for enquiries relating to your account	AIB Group (UK) p.l.c (trading as AIB (NI)) 92 Ann Street, Belfast, BT1 3HH 0345 6005 925 [†] aibni.co.uk †Call charges may vary – refer to your service provider									

Additional information

More information:

Scheme responsible for the protection of your deposit

Your eligible deposit is covered by a statutory Deposit Guarantee Scheme. If insolvency of your bank should occur, your eligible deposits would be repaid up to £85,000 by the Deposit Guarantee Scheme.

To contact the FSCS for further information on compensation:

(2) General limit of protection

If a covered deposit is unavailable because a bank is unable to meet its financial obligations, depositors are repaid by a Deposit Guarantee Scheme. This repayment covers a maximum of £85,000 per bank. This means that all eligible deposits at the same bank are added up in order to determine the coverage level. If, for instance a depositor holds a savings account with £80,000 and a current account with £20,000, he or she will only be repaid £85,000.

This method will also be applied if a bank operates under different trading names. AIB Group (UK) p.l.c. also trades under AIB (NI) in Northern Ireland and Allied Irish Bank (GB) and Allied Irish Bank (GB) Savings Direct in Great Britain. This means that all eligible deposits with one or more of these trading names are in total covered up to £85,000.

In some cases eligible deposits which are categorised as "temporary high balances" are protected above £85,000 for six months after the amount has been credited or from the moment when such eligible deposits become

legally transferable. These are eligible deposits connected with certain events including:

Financial Services Compensation Scheme

Tel: 0800 678 1100 or 020 7741 4100

Email: ICT@fscs.org.uk

http://www.fscs.org.uk

10th Floor Beaufort House, 15 St Botolph Street, London, EC3A 7QU

- (a) certain transactions relating to the depositor's current or prospective only or main residence or dwelling;
- (b) a death, or the depositor's marriage or civil partnership, divorce, retirement, dismissal, redundancy or invalidity;
- (c) the payment to the depositor of insurance benefits or compensation for criminal injuries or wrongful conviction.

More information can be obtained under http://www.fscs.org.uk

(3) Limit of protection for joint accounts

In case of joint accounts, the limit of £85,000 applies to each depositor.

However, eligible deposits in an account to which two or more persons are entitled as members of a business partnership, association or grouping of a similar nature, without legal personality, are aggregated and treated as if made by a single depositor for the purpose of calculating the limit of £85,000.

(4) Reimbursement

The responsible Deposit Guarantee Scheme is the Financial Services Compensation Scheme, 10th Floor Beaufort House, 15 St Botolph Street, London, EC3A 7QU, Tel: 0800 678 1100 or 020 7741 4100, Email: ICT@fscs.org.uk. It will repay your eligible deposits (up to £85,000) within 20 working days until 31 December 2018;

within 15 working days from 1 January 2019 until 31 December 2020; within 10 working days from 1 January 2021 to 31 December 2023; and within 7 working days from 1 January 2024 onwards, save where specific exceptions apply. Where the FSCS cannot make the repayable amount available within 7 working days, it will, from 1 June 2016 until 31 December 2023, ensure that you have access to an appropriate amount of your covered deposits to cover the cost of living (in the case of a depositor which is an individual) or to cover necessary business expenses or operating costs (in the case of a depositor which is not an individual or a large company) within 5 working days of a request. If you have not been repaid within these deadlines, you should contact the Deposit Guarantee Scheme since the time to claim reimbursement may be barred after a certain time limit. Further information can be obtained under http://www.fscs.org.uk.

Other important information

In general, all retail depositors and businesses are covered by Deposit Guarantee Schemes. Exceptions for certain deposits are stated on the website of the responsible Deposit Guarantee Scheme. Your bank will also inform you of any exclusions from protection which may apply. If deposits are eligible, the bank shall also confirm this on the statement of account.

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Financial Services Compensation Scheme – Exclusions List



Protected

A deposit is excluded from protection if:

- (1) The holder and any beneficial owner of the deposit have never been identified in accordance with money laundering requirements. For further information, contact your bank, bank building society or credit union.
- (2) The deposit arises out of transactions in connection with which there has been a criminal conviction for money laundering.
- (3) It is a deposit made by a depositor which is one of the following:
 - credit institution
 - financial institution
 - investment firm
 - insurance undertaking
 - reinsurance undertaking
 - collective investment undertaking
 - pension or retirement fund(1)
 - public authority, other than a small local authority.
- (4) It is a deposit of a credit union to which the credit union itself is entitled.

- (5) It is a deposit which can only be proven by a financial instrument⁽²⁾ unless it is a savings product which is evidenced by a certificate of deposit made out to a named person and which existed in the *UK*, *Gibraltar* or a Member State of the EU on 2 July 2014.
- (6) It is a deposit of a collective investment scheme which qualifies as a small company.⁽³⁾
- (7) It is a deposit of an overseas financial services institution which qualifies as a small company.⁽⁴⁾
- (8) It is a deposit of certain regulated firms (investment firms, insurance undertakings and reinsurance undertakings) which qualify as a small business or a small company⁽⁵⁾ – refer to the FSCS for further information on this category.
- (9) It is not held by an establishment of a bank, building society or credit union in the UK or, in the case of a bank or building society incorporated in the UK, it is not held by an establishment in Gibraltar.

For further information about exclusions, refer to the FSCS website at www.FSCS.org.uk

⁽¹⁾ Deposits by personal pension schemes, stakeholder pension schemes and occupational pension schemes of micro, small and medium sized enterprises are **not** excluded

⁽²⁾ As listed in Part I of Schedule 2 to the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001, read with Part 2 of that Schedule

 $^{^{\}mbox{\tiny (3)}}$ Under the Companies Act 1985 or Companies Act 2006

⁽⁴⁾ See footnote 3

⁽⁵⁾ See footnote 3